


Please type a plus sign (+) inside this box 

PTO/SB/05 (03-01)

Approved for use through 10/31/2002 OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

# **UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **M4065.0210/P210-A**

First Inventor **Dan Gealy**

Title **POST TOP ELECTRODE OXYGEN, etc.**

Express Mail Label No.

## **APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27

3. ☒ Specification [Total Pages **30**]

- (preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **4**]

5. Oath or Declaration [Total Pages **3**]

a. ☐ Newly executed (original or copy)

b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)

i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet See 37 CFR 1.76

ADDRESS TO: Box Patent Application  
Commissioner for Patents  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)  
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or ii. ☐ paper

c. ☐ Statements verifying identity of above copies

## **ACCOMPANYING APPLICATIONS PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))

10. ☒ 37 CFR 3.73(b) Statement ☐ Power of  
(when there is an assignee) Attorney

11. ☐ English Translation Document (if applicable)

12. ☒ Information Disclosure ☒ Copies of IDS  
Statement (IDS)/PTO-1449 Citations

13. ☒ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i)  
Applicant must attach form PTO/SB/35 or its equivalent.

17. ☐ Other.

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: **09/588,008**

Prior application information: Examiner **H. Trinh** Group / Art Unit: **2814**

For CONTINUATION or DIVISIONAL APPS only The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## **19. CORRESPONDENCE ADDRESS**

☒ Customer Number or Bar Code Label



24998

or ☐ Correspondence address below

Name **DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP**  
**Thomas J. D'Amico**

Address **2101 L Street NW**

City **Washington** State **DC** Zip Code **20037-1526**

Country **US** Telephone **(202) 785-9700** Fax **(202) 887-0689**

Name (Print/Type)	<b>Thomas J. D'Amico</b>	Registration No. (Attorney/Agent)	<b>28,371</b>
Signature		Date	<b>December 5, 2001</b>

12/05/01

1131 U.S. PTO

PTO/SB/17 (11-00)

Approved for use through 10/31/2002 OMB 0651-0032

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<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2001</h2> <p style="font-size: small; margin: 5px 0;">Patent fees are subject to annual revision.</p>		<b>Complete if Known</b>																																																																																																																																																																																																																																													
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<b>SUBTOTAL (2)</b>					<b>810.00</b>																																																																																																																																																																																																																																										
Name (print/type)		Complete (if applicable)																																																																																																																																																																																																																																													
Thomas J. D'Amico		Registration No. (Attorney/Agent)	28,371																																																																																																																																																																																																																																												
Signature		Telephone	(202) 828-2232																																																																																																																																																																																																																																												
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